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PATENT # 4

Case Docket No. YAMAH5.970A

Date: January 8, 2004

B11  
-15-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : KAJI, et al.  
Appl. No. : 09/727,424  
Filed : December 1, 2000  
For : APPARATUS FOR  
OPTIMIZING CONTROL  
SYSTEM OF UNIT DEVICE  
INTEGRATED IN MACHINE  
ASSEMBLY  
Examiner : A. Perez Daple  
Group Art Unit : 2121

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 8, 2004

(Date)

Katsuhiro Arai, Reg. No. 43,315

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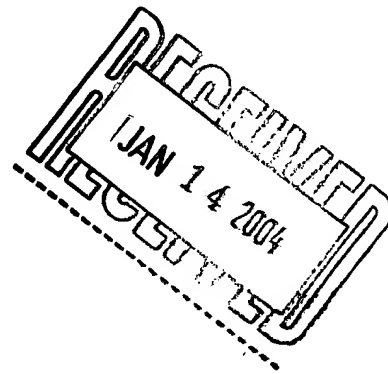
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with two (2) references.
- (X) A copy of Communication dated December 12, 2003.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.



Katsuhiro Arai  
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## INFORMATION DISCLOSURE STATEMENT

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Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 2 references that are also enclosed.

Please note that documents number US 4 920 499, EP 0 715 253 and EP 0 957 416 are already of record, which were filed on May 21, 2003. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).


CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: January 8, 2004

By:   
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